

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                    |                                                         |                        |                            |
|--------------------|---------------------------------------------------------|------------------------|----------------------------|
| <b>Applicant:</b>  | Yu-Cheng Hsu et al.                                     | <b>Examiner:</b>       | Unassigned                 |
| <b>Serial No.:</b> | Unassigned                                              | <b>Group Art Unit:</b> | Unassigned                 |
| <b>Filed:</b>      | Herewith                                                | <b>Docket:</b>         | TUC920030022US1<br>(16375) |
| <b>For:</b>        | <b>PRESERVING CACHE DATA<br/>AGAINST CLUSTER REBOOT</b> | <b>Dated:</b>          | June 20, 2003              |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent 6,516,426, dated 2/4/2003, to Forehand et al.
2. U.S. Patent 6,438,661, dated 8/20/2002, to Beardsley et al.

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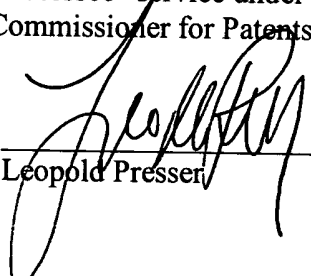
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 20, 2003.

Dated: June 20, 2003

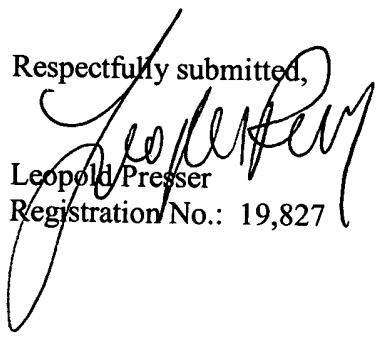
  
\_\_\_\_\_  
Leopold Presser

3. U.S. Patent App. 2002/0078399, dated 6/20/2002, to Caulkins
4. U.S. Patent 6,295,577, dated 9/25/2001, to Anderson et al.
5. U.S. Patent 6,243,831, dated 6/5/2001, to Mustafa et al.
6. U.S. Patent 5,828,823, dated 10/27/1998, to Byers et al.
7. U.S. Patent 5,774,643, dated 6/30/1998, to Lubbers et al.
8. U.S. Patent 5,761,406, dated 6/2/1998, to Kobayashi et al.
9. U.S. Patent 5,724,501, dated 3/3/1998, to Dewey et al.
10. U.S. Patent 5,623,604, dated 4/22/1997, to Russell et al.
11. U.S. Patent 5,519,831, dated 5/21/1996, to Holzhammer
12. U.S. Patent 5,448,719, dated 9/5/1995, to Schultz et al.
13. U.S. Patent 5,341,493, dated 8/23/1994, to Yanai et al.
14. "Mechanism to establish the normal/good reboot path e.g. for computer systems, in which IPL is achieved with saved persistence boot time configuration", IBM RD-438159, 10/10/2000 (abstract)

Applicant is submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

  
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LP:RFH/rl

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(Use several sheets if necessary)

**Atty. Docket No.**  
TUC 9-2003-0022 US 1 (16375)

**Serial No.**  
Unassigned

**Applicant**  
Yu-Cheng Hsu et al.

**Filing Date**  
Herewith

**Group**  
Unassigned

**U.S. PATENT DOCUMENTS**

| EXAMINER<br>'s<br>INITIAL* | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE<br>(if appropriate) |
|----------------------------|-----------------|------------|------------------|-------|----------|---------------------------------|
|                            | 6,516,426       | 2/4/2003   | Forehand et al.  |       |          |                                 |
|                            | 6,438,661       | 8/20/2002  | Beardsley et al. |       |          |                                 |
|                            | 2002/0078399    | 6/20/2002  | Caulkins         |       |          |                                 |
|                            | 6,295,577       | 9/25/2001  | Anderson et al.  |       |          |                                 |
|                            | 6,243,831       | 6/5/2001   | Mustafa et al.   |       |          |                                 |
|                            | 5,828,823       | 10/27/1998 | Byers et al.     |       |          |                                 |
|                            | 5,774,643       | 6/30/1998  | Lubbers et al.   |       |          |                                 |
|                            | 5,761,406       | 6/2/1998   | Kobayashi et al. |       |          |                                 |
|                            | 5,724,501       | 3/3/1998   | Dewey et al.     |       |          |                                 |
|                            | 5,623,604       | 4/22/1997  | Russell et al.   |       |          |                                 |
|                            | 5,519,831       | 5/21/1996  | Holzhammer       |       |          |                                 |
|                            | 5,448,719       | 9/5/1995   | Schultz et al.   |       |          |                                 |
|                            | 5,341,493       | 8/23/1994  | Yanai et al.     |       |          |                                 |

**FOREIGN PATENT DOCUMENTS**

|  |   | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|---|-----------------|------|---------|-------|----------|-------------|----|
|  |   |                 |      |         |       |          | YES         | NO |
|  | 1 |                 |      |         |       |          |             |    |
|  | 2 |                 |      |         |       |          |             |    |

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |  |                                                                                                                                                                                                  |
|--|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |  | "Mechanism to establish the normal/good reboot path e.g. for computer systems, in which IPL is achieved with saved persistence boot time configuration", IBM RD-438159, Oct. 10, 2000 (abstract) |
|  |  |                                                                                                                                                                                                  |

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.